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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2729.200
First Named Inventor or Application Identifier	
DAVID E. BROOKLER, et al.	
Express Mail Label No.	EL582470228US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Commissioner for Patents
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Washington, DC 20231

1. [X] Fee Transmittal Form
   (Submit an original, and a duplicate for fee processing)

2. [X] Specification   Total Pages [50]

3. [X] Drawing(s) *(35 USC 113)*   Total Sheets [11]

4. [X] Patent Application Bibliographic
   Data Sheet   Total Sheets [3]

5. [ ] Oath or Declaration   Total Pages [   ]

   a. [ ]   Newly executed (original or copy)

   b. [ ]   Unexecuted for information purposes

   c. [ ]   Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 18 completed)
      [Note Box 6 below]

      i. [ ]   DELETION OF INVENTOR(S)
         Signed Statement attached deleting inventor(s)
         named in the prior application, see 37 CFR
         1.63(d)(2) and 1.33(b).

6. [ ] Incorporation By Reference *(useable if Box 5c is checked)*
   The entire disclosure of the prior application, from which a copy of the
   oath or declaration is supplied under Box 5c, is considered as being
   part of the disclosure of the accompanying application and is hereby
   incorporated by reference therein. The incorporation can only be
   relied upon when a portion has been inadvertently omitted from the
   submitted application parts.

7. [ ]   Microfiche Computer Program *(Appendix)*

8. [ ]   Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)

   a. [ ]   Computer Readable Copy

   b. [ ]   Paper Copy (identical to computer copy)

   c. [ ]   Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. [ ]   Assignment Papers (cover sheet & document(s))

10. [ ]   37 CFR 3.73(b) Statement   [ ] Power of Attorney
    (when there is an assignee)

11. [ ]   English Translation Document *(if applicable)*

12. [ ]   Information Disclosure   [ ] Copies of IDS
    Statement (IDS)/PTO-1449   Citations

13. [ ]   Preliminary Amendment

14. [ ]   Return Receipt Postcard (MPEP 503)
    (Should be specifically itemized)

15. [X]   Copy of Small Entity Statement   [X] Statement filed in
                                                prior application
                                                Status still proper and
                                                desired

16. [ ]   Certified Copy of Priority Document(s)
    (if foreign priority is claimed)

17. [ ]   Other: _____
    _____
    _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

    [ ] Continuation   [ ] Divisional   [ ] Continuation-in-part (CIP)   of prior application No. ___/_____
    Prior application information: Examiner _____   Group/Art Unit: _____

19. CORRESPONDENCE ADDRESS

[X] Customer Number or Bar Code Label   05514   *(Insert Customer No. or Attach bar code label here)*   or   [ ] Correspondence address below

NAME	
Address	
City	State	Zip Code
Country	Telephone	Fax

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	63 -20 =	43	X $ 18.00 =	$ 774.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6 -3 =	3	X $ 78.00 =	$ 234.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			$260.00 =	- 0 -
				BASIC FEE (37 CFR 1.16(a))	$ 690.00
			Total of above Calculations =		$1,698.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				$ 849.00
				TOTAL =	$ 849.00

20.　Small entity status

　　a. ☐　A small entity statement is enclosed

　　b. ☒　A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired (copy enclosed).
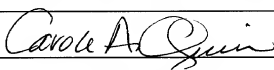
　　c. ☐　Is no longer claimed.

21.　☒　A check in the amount of $ 849.00 to cover the filing fee is enclosed.

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23.　The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

　　a. ☒　Fees required under 37 CFR 1.16.

　　b. ☒　Fees required under 37 CFR 1.17.

　　c. ☐　Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Carole A. Quinn, Reg. No. 39,000
SIGNATURE	*Carole A. Quinn*
DATE	August 21, 2000

PTO/SB/10 (1-00)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
9407-0F861US0

Applicant, Patentee, or Identifier: **David E. Brookler, et al**

Application or Patent No.: _____

Filed or Issued: **August   1999**

Title: **Method and System for Efficient Structuring, Storing and Searching of Data in an RDBMS**

I hereby state that I am

☐ the owner of the small business concern identified below.

☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN **A2i, Inc.**

ADDRESS OF SMALL BUSINESS CONCERN **1925 Century Park East, Suite 255,**
Los Angles, California 90067-2703

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

☒ no such person, concern, or organization exists.

☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING **Paul N. Weinberg**

TITLE OF PERSON IF OTHER THAN OWNER **Vice President**

ADDRESS OF PERSON SIGNING **2160 Century Park East, #1905, L.A., CA, 90067**

SIGNATURE _[signature]_   DATE **8/17/99**

INVENTOR INFORMATION

Inventor One Given Name:: David E.
Family Name:: Brookler
Postal Address Line One:: 1700 South Shenandoah Street
City:: Los Angeles
State or Province:: California
Country:: United States
Postal or Zip Code:: 90035
City of Residence:: Los Angeles
State or Province of Residence:: California
Country of Residence:: United States
Citizenship Country:: United States

Inventor Two Given Name:: Ariel
Family Name:: Hazi
Postal Address Line One:: 11963 Victoria Avenue
City:: Los Angeles
State or Province:: California
Country:: United States
Postal or Zip Code:: 90066
City of Residence:: Los Angeles
State or Province of Residence:: California
Country of Residence:: United States
Citizenship Country:: United States

Inventor Three Given Name:: Dave L.
Family Name:: Sullivan
Postal Address Line One:: 5055 Bakman Avenue
Postal Address Line Two:: Apartment 101
City:: North Hollywood
State or Province:: California
Country:: United States
Postal or Zip Code:: 91601
City of Residence:: North Hollywood
State or Province of Residence:: California
Country of Residence:: United States
Citizenship Country:: United States

Inventor Four Given Name:: Dominic
Family Name:: Tham
Postal Address Line One:: 3700 Bagley Avenue
Postal Address Line Two:: Apartment 115
City:: Los Angeles
State or Province:: California

Country:: United States
Postal or Zip Code:: 90034
City of Residence:: Los Angeles
State or Province of Residence:: California
Country of Residence:: United States
Citizenship Country:: United States

Inventor Five Given Name:: Philip A.
Family Name:: Tinari
Postal Address Line One:: 9649 Olympic Boulevard
Postal Address Line Two:: Apartment 5A
City:: Beverly Hills
State or Province:: California
Country:: United States
Postal or Zip Code:: 90212
City of Residence:: Beverly Hills
State or Province of Residence:: California
Country of Residence:: United States
Citizenship Country:: United States

Inventor Six Given Name:: Paul N.
Family Name:: Weinberg
Postal Address Line One:: 2160 Century Park East
Postal Address Line Two:: Apartment 1905
City:: Los Angeles
State or Province:: California
Country:: United States
Postal or Zip Code:: 90067
City of Residence:: Los Angeles
State or Province of Residence:: California
Country of Residence:: United States
Citizenship Country:: United States

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 05514
Fax:: (212) 218-2200

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APPLICATION INFORMATION

Title Line One:: DATA INDEXING USING BIT VECTORS

Total Drawing Sheets:: 11
Formal Drawings?:: Yes
Application Type:: Utility
Docket Number:: 2729.200
Secrecy Order in Parent Appl.?:: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 5514

PRIOR FOREIGN APPLICATIONS

Priority Claimed:: No

CA_MAIN 7909 v 1

DATA INDEXING USING BIT VECTORS

This application claims the benefit of U.S.
Provisional Application No. 60/149,855, filed August
5   19, 2000.

BACKGROUND OF THE INVENTION

Field Of The Invention
10   The present invention relates to indexing
data and, more particularly, to a method and
apparatus wherein bit vector indexing is used to
index data such as record data in a database.

Description Of The Related Art
15   A DBMS (Database Management System) is
used to manage data and is comprised of
computer-executable code that may be used to define

the structure of data and to access data within the
defined structure. One example of a DBMS is a
relational DBMS, or RDBMS. An RDBMS manages tables
that make up a relational database as well as the
5   data contained in the tables. In an RDBMS, data is
organized in rows (or records) and columns (or
fields) of the tables, and two or more tables may be
related based on like data values. The intersection
of a row and column in a table is referred to as a
10   cell and contains the data value for a particular
field of a particular record.

A DML (data manipulation language) such as
SQL (Structured Query Language) is typically used to
store, retrieve and modify data in a table. A
15   schema defines the structure of a database, i.e.,
each table and the fields within a record of a
table. A schema is itself considered data that is
stored in one or more tables. Therefore, like other
data in a database, a DML may be used to store,
20   retrieve and modify the data in the database as well
as the structure of a database.

There are performance issues with respect
to data access in a DBMS particularly when the
database is very large. A typical RDBMS is
25   optimized for certain types of query access.
However, performance degrades when the database is
very large, when a query returns a large set of
records, when row selection criteria apply across
multiple fields and tables, or when interactively
30   browsing large sets of query results. Value
limiting on a lookup table reduces the set of lookup

values by eliminating from the set of all possible lookup values those values that do not correspond to any records in the primary table. Another problem is that value limiting cannot efficiently and quickly be done using the standard mechanisms of an RDBMS.

An RDBMS uses indexes to assist in performing queries and quickly locate records in the database. Indexes store one or more field (or column) values from each record as a unique key for the record. Indexes do an adequate job of speeding up the query process even on large databases when the row selection criteria include constraints on only a single field and when the query results do not need to be browsed interactively. In particular, an RDBMS can quickly search for and retrieve an individual record from among even millions of records based on the value of an indexed field.

Unfortunately, however, there are a number of shortcomings to searching using conventional forms of indexing.

For example, if the number of records in the database is very large, the index itself can become large as well, so that as a practical matter it will be stored on disk rather than in memory, moderately increasing the time necessary to search for records.

Further, if the row selection criteria includes constraints for multiple fields, the

speedup only applies to each field individually.
The problem of then reconciling the multiple sets of
query results, one for each constraint, into a
single set of query results for all the constraints,
5   requires complex algorithms and heuristics that can
dramatically increase the time necessary to execute
the query. In fact, the time required grows
geometrically with the number of records in the
individual result sets, which means that query
10   constraints that return very large sets of records
reduce system performance.

        A further disadvantage of conventional
indexing relates to situations in which a user
wishes to interactively browse query results which
15   results in performance degradation. Most relational
database management systems support interactive
browsing using cursors and temporary files, which
require that the entire set of query results first
be written to disk before browsing, a very slow
20   operation compared to memory access. Moreover, the
set of query results must be accessed and written to
disk in its entirety even if only a small subset of
the records will ever be brought into view. Again,
if the set of query results is very large, the
25   operation of writing them to disk can take a long
time.

        Also, if a user chooses to build up a
query interactively and iteratively by adding one
constraint at a time and viewing intermediate query
30   results along the way, the entire query process

needs to be repeated from scratch as each additional
constraint is added to the query, each time
incurring all of the overhead of each of the steps
of reading the index, applying multiple row
selection criteria, reconciling query results, and
writing them to disk.

A final problem arises when attempting to
perform value limiting. Value limiting allows the
system to present the user with lists of values for
search selections that always correspond to records
in the primary table, preventing the user from
making search selections that lead to no records
found. Unfortunately, a typical RDBMS can only
accomplish this process through complex, multi-table
joins (i.e., a join combines information from two
tables by performing a lookup on every record of the
primary table) that cannot usually be done quickly
enough to provide an acceptable response time in an
interactive environment. A lookup uses a pair of
matching columns from two tables, taking the value
of the column for a single record in the first
primary table to "look up" additional information in
a single corresponding record in the second lookup
table. As a result, value limiting is impractical
when performing interactive and iterative searches
because the value limiting would have to be done
across multiple lookup tables again and again.

Thus, it would be beneficial to have a
mechanism to more efficiently index data in data
records such as those stored in a database.

SUMMARY OF THE INVENTION

The present invention addresses the foregoing problems and provides for an indexing scheme for indexing data in data records using bit vectors.

In one aspect of the invention, indexing of occurrences of a value in at least one data record using a bit vector representation is provided wherein the bit vector representation is associated with the value and a bit of the bit vector representation is associated with each of the at least one data record, a determination is made whether the value exists in the at least one data record, a bit value is assigned to the bit in the bit vector representation based on the outcome of the determination.

Indexing using BVs (bit vectors) provide several advantages over conventional indexing approaches. Since, a BV uses one bit per record in the primary table instead of a minimum of eight bytes per record for an index, a bit vector is substantially smaller than a conventional index. This results in faster processing and less memory usage, since it is not likely to need to be stored on disk, and if it is, requires that less data be accessed on the disk for a particular operation. Further, it is possible to encode a BV, using any of the well known compression schemes, to further reduce the amount of storage they require.

Further, instead of complex algorithms reconciling individual sets of query results to combine the multiple constraints, logical operations may be used. Unlike the geometric time required to reconcile individual result sets in the conventional searching approaches, the time grows linearly with the number of records in the primary table.

The set of records that satisfy a query correspond to the bit vector that results from bit-wise operations (e.g., "ORs" and "ANDs"). There is no need to create a temporary file of query results and the records themselves do not need to be accessed in advance. In an interactive environment, a particular record need only be accessed when it is browsed into view, if ever.

In addition, BVs reduce the repeated overhead when performing interactive, iterative queries. Intermediate resulting bit vectors can be stored for each lookup field during the course of an iterative query. Additional constraints can then be applied to them rather than reapplying all of the constraints from scratch using the original BVs of the BVIs (Bit Vector Indexes).

Further, operations may be performed on multiple bit vectors to determine the existence of combinations and associations between the corresponding values used in the indexed data records. BVIs are perfectly suited for value limiting across multiple lookup tables and

completely eliminate the need to perform complex multi-table joins.

In another aspect of the invention, combinations of values used in at least one data record are identified by creating a first bit vector representation for a first value, the first bit vector representation identifying use of the first value in the at least one data record, creating a second bit vector representation for a second value, the second bit vector representation identifying use of the second value in the at least one data record, and performing a bit-level operation on the first and second bit vector representations.

This brief summary has been provided so that the nature of the invention may be understood quickly. A more complete understanding of the invention can be obtained by reference to the following detailed description of the preferred embodiment(s) thereof in connection with the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is an outward view of a hardware environment embodying the present invention.

Fig. 2 is a block diagram of the internal architecture of a typical computer for use in conjunction with the present invention.

Fig. 3 provides an example of a table and the use of BVIs to index data contained in the table according to the present invention.

Fig. 4 further illustrates the BVs (bit vectors) depicted in Fig. 3.

Fig. 5 provides a diagram of process steps to create a BVIs and BVs according to the present invention.

Fig. 6 provides a diagram of process steps to perform a bit-wise operation on BVs according to the present invention.

Figs. 7A and 7B provide an example of bit-wise "OR" and "AND" operations performed on BVs according to the present invention.

Fig. 8 provides a diagram of process steps to perform value-limiting according to the present invention.

Figs. 9A and 9B provide an example of value-limiting processing performed according to the present invention.

Fig. 10 provides an example of Category-Manufacturer and Manufacturer-Category BVIs according to the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 is an outward view of representative computing hardware embodying the present invention. Shown in Fig. 1 are computer 10 executing an operating system, display monitor 11 for displaying text and images to a user, keyboard 14 for entering text and commands into computer 10, and mouse 12 for manipulating and for selecting objects displayed on display monitor 11, or for

output to an output device such as printer 16. Also
included with computer 10 are fixed disk drive 6, in
which are stored application programs, such as a
DBMS and other applications, data files, and device

5   drivers for controlling peripheral devices attached
to computer 10, floppy disk drive 15 for use in
reading data from and writing data to floppy disks
inserted therein. Data and/or applications may also
be accessed from a CD-ROM via a CD-ROM drive (not

10   shown) or over a network to which computer 10 may be
connected (network connection not shown).

        Fig. 2 is a block diagram of the internal
architecture of computer 10. Shown in Fig. 2 are
CPU 20, which may be any microprocessor including,

15   but not limited to, a Pentium-type microprocessor,
interfaced to computer bus 21. Also interfaced to
computer bus 21 are printer interface 25, to allow
computer 10 to communicate with printer 16, modem
interface 29 to enable communications between

20   computer 10 and a modem, display interface 27 for
interfacing with display monitor 11, keyboard
interface 28 for interfacing with keyboard 14, mouse
interface 23 for interfacing with mouse 12, and
network interface 26 for connecting to a network

25   (e.g., Internet, intranet, local area network,
etc.).

        Read only memory (ROM) 24 stores invariant
computer-executable process steps for basic system
functions such as basic I/O, start up, or reception

30   of keystrokes from keyboard 14.

Main random access memory (RAM) 30 provides CPU 20 with memory storage which can be accessed quickly. In this regard, computer-executable process steps of a DBMS or other application are transferred from disk 6 over computer bus 21 to RAM 32 and executed therefrom by CPU 20.

Also shown in Fig. 2 is disk 6 which, as described above, includes a windowing operating system, a DBMS which includes data stored therein as well as schema and data stored in one or more tables defined in the schema. Further, disk 6 may be used to store executable-code (e.g., stored procedures) comprising steps described herein indexing data using bit vector representations. Disk 6 further includes data files and device drivers as shown.

The present invention uses a BVI (Bit Vector Index) to augment the standard indexing scheme of a typical RDBMS. A BVI is a collection of BV representations that together comprise an index for a particular column in a table of a database. One example of a BV representation is a bit vector (BV) comprising a sequence of Boolean values, each stored as a single bit. The column in the indexed table stores a reference to a value in a set of enumerated values such as those values found in a lookup table. A lookup mechanism uses a pair of matching columns from two tables, taking the value of the column for a single record in the first table

to "look up" additional information in a single corresponding record in the second (lookup) table.

The present invention creates a BVI for each matching column pair that relates a lookup field in the indexed table to a set of values in a lookup table. BVI indexing of the present invention may be used in place of or as a supplement to other forms of indexing.

BVIs and BVs have a number of advantages. Since, a BV uses one bit per record in the indexed table instead of a minimum of eight bytes per record for an index, a bit vector is substantially smaller than a conventional index. This results in faster processing and less memory usage, since a BVI is not likely to need to be stored on disk, and if it is, requires that less data be accessed on the disk for a particular operation. Further, it is possible to encode a BV to generate another BV representation that optimizes the space need for a BV. Further, it is possible to compress a BV representation (optimized or not), using any of the well known compression schemes, to further reduce the amount of storage required for a BVI.

Further, instead of complex algorithms reconciling individual sets of query results to combine the multiple constraints, logical operations may be used. Unlike the geometric time required to reconcile individual result sets in the conventional searching approaches, the time grows linearly with the number of records in the primary table.

In the present invention, a set of records that satisfy a query can be represented as a BV that is the result of bit-wise operations (e.g., "ORs" and "ANDs"). There is no need to create a temporary file of query results and the records themselves do not need to be accessed in advance. In an interactive environment, a particular record need only be accessed when it is browsed into view, if ever.

In addition, BVIs reduce the repeated overhead when performing interactive, iterative queries. Intermediate resulting bit vectors can be stored for each lookup field during the course of an iterative query. Additional constraints can then be applied to them rather than reapplying all of the constraints from scratch using the original BVs of the BVIs.

BVIs are perfectly suited for value limiting across multiple lookup tables and completely eliminate the need to perform complex multi-table joins.

Fig. 3 provides an example of a table and the use of BVIs to index data contained in the table according to the present invention. The particular tables depicted in Fig. 3 are by way of example only, and it should be apparent that the present invention is not limited to this example.

Products table 300 contains four fields: product ID, description, manufacturer and category fields. Each of records 310 through 314 contain

information associated with a particular
manufacturer and category. The manufacturer and
category fields are lookup fields such that the
value in each cell stores a reference (or
5   identifier, ID) to a value in a lookup table.

        Lookup tables 301 and 302 associate the
reference made in records 310 through 314 to an
actual value. Table 301 contains values for
manufacturers while table 302 contains category
10   values. Referring to table 301, for example, an ID
value of "2" corresponds to the manufacturer,
"APEX". In products table 300, records 312 and 315
have a value of "2" in the manufacturer field. In a
DBMS, the value in the manufacturer field of record
15   312, for example, may be used to lookup the name of
the manufacturer associated with a lookup value of
"2". Similarly, a value in the category fields of
records 310 through 314 may be used to identify a
corresponding category name stored in table 302.

20   BVIs 303 and 304 contain BVs associated
with the manufacturer table 301 and category table
302 (respectively).

        A BVI may comprise multiple BVs.
Preferably, a BVI such as BVIs 303 and 304 are array
25   structures with each entry containing a BV and the
indexed value being a pointer into the array.
Further, a BVI is preferably a unidimensional array.
However, a BVI may be multidimensional arrays as
well. BVIs 303 and 304 of Fig. 3 are depicted as
30   two dimensional arrays that includes the indexed

value to further illustrate the functionality of the
present invention.

Each BV of a BVI identifies the records in
the indexed table that correspond to one particular
value in the lookup table. A bit is set in a
particular position in the BV that corresponds to a
given value, if the corresponding record in the
indexed table has that value. The collection of BVs
for all of the values of the matching column in the
lookup table comprises the BVI for that matching
column pair.

Thus, for example, records 330 through 332
of BVIs 303 contain BVs that provide an index of
records 310 through 314 and the manufacturers
identified in the records. Similarly, records 340
through 342 contain BVs for indexing records 310
through 314 by category.

Fig. 4 further illustrates the BVs
depicted in Fig. 3. BVs 430 through 432 provide an
index of manufacturers while BVs 440 through 442
index categories. To further illustrate, BV 430
contains five bits each corresponding to a record
310 through 314 of table 300. The leftmost bit
corresponds to record 310, the next to record 311,
the third to record 312, the fourth to record 313
and the rightmost bit to record 314.

In this example, a value of "1" in a
manufacturer's BV indicates that the corresponding
record in table 300 references the manufacturer that
corresponds to the BV in its manufacturer field.

For example, referring to BV 430, which indexes a manufacturer value of "ACME", bits one and two are "on" indicating that the corresponding records 310 and 312 of table 300 reference the "ACME"

5   manufacturer. Similarly, in BV 441, which indexes the "Computer" category, the third and fifth bits correspond to records 312 and 314 (respectively) and are set to indicate that these records reference the "Computer" category.

10   A BV contains one bit per record of the indexed table rather than a minimum of eight bytes per record for a conventional index. Thus, a BV is substantially smaller than a corresponding index. A BVI can, therefore, be processed faster and requires

15   less memory. Further, since it requires less storage space, a BVI is not as likely to need to be stored on disk as a conventional index, but if it is, the reduced size of a BVI results in less data being accessed on the disk for a particular

20   operation.

            In addition, various encoding techniques may be used to further reduce the size of a BVI and the BVs contained therein. It is possible to encode sparse BVs to further reduce the amount of storage

25   they require. Various encoding schemes that are used include enumeration, run-length encoding, truncation of leading and trailing zeros, and LZW compression, as well as additional compression over the entire BVI.

Compression is especially useful where there are contiguous portions of a BV with like values (i.e., "0"s or "1"s). However, even in the case that a BV contains randomly distributed 1's or 0's, it may be possible to compact the BV by storing bits up to the last bit set.

The present invention provides various encoding mechanisms to optimize storage based on the nature of the BVs. A flag may be associated with each BVI to identify the encoding scheme used for a BVI.

One such BVI structure may be used where there are contiguous portions of a BV, the BV may be stored as an ordered list of either set (e.g., values of "1") or unset bits (e.g., values of "0"). That is, instead of storing a BV as a series of "1"s and "0"s, bit position information is stored. For example, where the first ninety-nine bits are "0" and the hundredth bit is a "1", the first entry in the BV is the value "100". Conversely, where the BV contains fewer "0"s than "1"s, for example, the ordered list may indicate the position of the "0"s in the BV. Such encoding is especially useful where the number of bits set (or unset) is less than 1 in each "n" bits where "n" is the word size (or number of bits used to store a number). For example, such encoding is well suited for BVs where the number of bits set (or unset) is 1 bit in 32 bits for 4-byte numbers, 1 in 16 for 2-byte numbers, 1 in 8 for 1-byte numbers, etc.

A BV may also be stored as ordered start
and end pairs of set (or unset) bits. That is, a
run of set (or unset) bits are stored as start and
stop positions within a BV in which the run begins

5   and ends (respectively). Such encoding is
especially useful when the average run, or region
size, is greater than twice the word size used to
store a number, or a region size of 64 for 4-byte
numbers, 32 for 2-byte numbers, etc.

10   Compression can also be applied to any of
the above encoding approaches. However, there are
tradeoffs between speed and storage. Compression
can act to decrease speed, but is useful where it
improves storage. The determination may be made

15   based on the available computer system resources.

As with standard indexes, there is a
mapping between a bit of the BV and a record in the
indexed table. Preferably, the pointer into a BV is
a field in the indexed table (e.g., a record ID

20   field such as the product ID field in table 300)
whose value identifies the corresponding bit
position in the BV. Alternatively, a mapping
mechanism may be used to translate between a record
ID and a position in a BV.

25   A BVI must be synchronized with the fields
that it indexes. For an existing table, the values
contained in the indexed fields are used to generate
a BVI. Thereafter, the BVI is updated whenever a
value in the indexed field is modified.

Fig. 5 provides a diagram of process steps to create a BVI and BVs contained therein according to the present invention. The process steps of Fig. 5 are performed for each value in a lookup table (e.g., values 1 through 3 in table 301 or 302) to generate a BVI where a BV in the BVI corresponds to a value in the lookup table.

At step S500, a determination is made whether all of the enumerated values in the lookup table have been processed. If so, processing ends at step 506. If not, processing continues at step S501 to generate a BV for the next enumerated value.

At step S501, the BV for the next enumerated value is initialized. At step S502, a determination is made whether all of the records in the indexed table have been processed for the current enumerated value. If so, processing continues at step S500 to process any remaining enumerated values. If not, processing continues at step S503 to get the enumerated value used in the next record in the indexed table (e.g., one of records 310 through 314 of products table 300).

At step S504, a determination is made whether the value used in the record is the same as the indexed value currently being processed. If not, processing continues at step S502 to process any remaining records in the indexed table. If so, processing continues at step S505 to set the bit, that corresponds to the current record, in the BV for the indexed value currently being processed.

Processing continues at step S502 to process any
remaining records in the indexed table.

Searching lookup fields based on lookup
values is dramatically faster using a BVI than a
traditional index. To identify the set of records
in the indexed table that correspond to a particular
value in the lookup table, the BV for that value is
extracted from the BVI for the lookup table. The
bits that are set in the BV immediately identify the
set of records. Using this approach, the time
required to identify the set of records having a
particular value in a lookup field grows linearly
rather than geometrically (as in conventional
approaches) with the number of records, as well as
linearly rather than exponentially (as in
conventional approaches) with the number of tables.

In addition, multiple constraints on a
single lookup field may be handled using logical
operations on BVs. Thus, for example, selecting
records based on multiple lookup table values is
accomplished using an "OR" operation. Such an
operation may be used to select records from
products table 300 where the manufacturer is either
"ACME" or "Apex". The BVs that correspond to each
of the desired lookup table values are "ORed"
together. Any bit that is set in the resulting BV
indicates that the corresponding record in the
indexed table is included in the result set.

Fig. 6 provides a diagram of process steps
to perform a bit-wise operation on BVs according to

the present invention. At step S601, a result BV is
initialized to the first BV to be used in the
operation. At step S602, a determination is made
whether all operations have been performed. If so,

5   processing ends at step S605. If not, processing
continues at step S603, to get the next BV. At step
S604, the result BV is updated by performing a
bit-wise operation (e.g., an "OR" or "AND"
operation) on the result BV and the BV retrieved in

10   step S603. Processing then continues at step S602
to process any remaining BVs and or BVIs.

        Operations performed on BVs may proceed
hierarchically in addition to the linear approach
taken in Fig. 6. That is, for example, operations

15   performed on like BVs are performed first using an
"OR" bit-wise operation and bit-wise "AND"
operations on dissimilar BVs are performed on the
result(s). To illustrate, consider a selection
criteria that comprises "Printer" or "Monitor" from

20   either "ACME" or "Apex". Since the first two are
both categories of products and the latter two are
both manufacturers, a bit-wise "OR" operation is
performed to generate a BV that satisfies the
category criteria, a bit-wise "OR" operation is

25   performed to generate a BV that satisfies the
manufacturer criteria, and the two generated BVs are
then bit-wise "ANDed".

        Figs. 7A and 7B provide an example of
bit-wise "OR" and "AND" operations performed on BVs

30   within BVIs according to the present invention.

Referring to Fig. 7A, an "OR" operation is performed on the "ACME" and "Apex" BVs (from records 330 and 331 of table 303) to identify the records in products table 300 where the manufacturer is either

5   "ACME" or "Apex".

BV 700 from record 330 is "ORed" with BV 701 of record 331 to yield BV 702. Since a value of "1" is used to indicate that the corresponding record in the products table 300 contains the lookup

10   table value (i.e., either "ACME" or "Apex"), the presence of a "1" in BV 702 indicates that either "ACME" or "Apex" appears in the corresponding record. That is, records 310, 311, 312, and 314 contain a manufacturer's value of either "ACME" or

15   "Apex".

BVIs also facilitate searching that involve constraints on multiple fields. In a conventional approach, complex algorithms are used, and reconciling individual sets of query results

20   must be performed to combine the multiple constraints. Instead, the present invention contemplates one or more operations on a set of BVIs that is faster and less complex. After the bit vectors for multiple values constraining a single

25   lookup field are first bit-wise "ORed", the resulting BVs for each of the lookup fields are then bit-wise "ANDed". Unlike the geometric time required to reconcile individual result sets, the time grows linearly with the number of records in

30   the indexed table.

For example, a request for a manufacturer
equal to either "ACME" or "Apex" and a category of
"Computer" may be satisfied using logical bit-wise
operations (e.g. "AND" and "OR") on the

5   corresponding manufacturer and category BVIs.

The appropriate manufacturer BVs are
bit-wise "ORed" together as illustrated in Fig. 7A.
The result, BV 702, is then bit-wise "ANDed" with
the BV corresponding to the "Computer" category.

10 Referring to Fig. 7B, BV 702 is bit-wise "ANDed"
with BV 711 (from record 341) to yield BV 712. As
indicated by BV 712, records 311 and 312 contain the
requested "ACME" and "Apex" computer products.

In the above operations, there was no need

15 to store query (e.g., intermediate or final) results
in temporary storage (e.g., file or memory).
Further, the appropriate records may be found
without the need to access the records themselves.
The records that correspond to the set values in the

20 result BV values are the appropriate records. Given
records must be accessed only when it is necessary
to provide the information contained in the record
such as when the record is to be displayed in an
interactive environment (e.g., browsing

25 environment). Since the time needed to retrieve all
of the records to perform the necessary queries as
done in the past is eliminated, the setup time
needed to generate the interactive display may be
reduced. Records are only accessed when they are to

be browsed or otherwise viewed in the interactive
environment.

It is also possible to store intermediate,
result BVs (e.g., BVs 702 and 712) in a case that a
5   constraint is frequently used, for example. It is
then possible to apply additional constraints to the
stored, intermediate BVs rather than reapplying all
of the constraints on the original BVs thereby
reducing the overhead. This is especially useful in
10   a case of incremental queries performed
interactively (e.g., by a user or users of an
interactive system). It can be seen that storage of
intermediate results in the form of intermediate BVs
requires much less space than storing intermediate
15   results (i.e., tables containing records) that would
be necessary when using a conventional approach.

BVs are perfectly suited for value
limiting across multiple lookup tables and
completely eliminate the need to perform complex
20   multi-table joins.

BVs may also be used to perform value
limiting on a particular lookup field. Value
limiting is typically used to limit selection to
only those values that correspond to records in the
25   indexed table that satisfy any constraints.

Briefly, value limiting is performed using
the present invention by ignoring the constraints on
a lookup field being value-limited, so that the next
incremental query can change the constraints on a
30   particular lookup field based on all the values for

which records exist in the indexed table, not just
the values already selected based on constraints on
the value-limited lookup field.

5   If one does not already exist, an
intermediate BV is generated using the constraints
on any other lookup fields.

A logical "AND" operation is then
performed on the intermediate BV and each BV in the
BVI for the value-limited lookup field. In contrast
10   to a bit-wise "AND" operation, a logical "AND"
returns a single value (i.e., either "TRUE" or
"FALSE"). Any value for which the result of the
logical "AND" is "FALSE" may be eliminated from the
value-limited list. Note that it is not always
15   necessary that all the bits in the BV be compared.
That is, the comparison can stop as soon as one pair
of corresponding bits are found to both be set.

Fig. 8 provides a diagram of process steps
to perform value-limiting according to the present
20   invention. Initially, the constraints on the
value-limited field are ignored and, at step S801,
the set of valid values for the value-limited field
are all the values.

At step S802, a determination is made
25   whether constraints on other lookup value fields
have been processed. If not, processing continues
at step S803 to generate or update a limiting BV
using the next constraint. The limiting BV is used
to limit the value-limited field by applying it to
30   each of the BVs in the value-limited field's BVI.

Step S803 may be performed as discussed above with reference to Fig. 6. Processing continues at step S802 to process any remaining constraints on other lookup value fields.

5   If it is determined, at step S802, that all other constraints have been processed, processing continues at step S804 to determine whether all values in the value list initially created in step S801 have been processed. If so,

10   processing ends at step S805. If not, processing continues at step S806, to get the BV that corresponds to the next value in the value list. At step S807, a logical "AND" operation is performed on the value BV and the limiting BV generated in step

15   S803.

At step S808, the result of the "AND" operation performed at step S807 is examined to determine whether is has a value of "TRUE" or "FALSE". If the result is "TRUE", processing

20   continues at step S804 to process any BVs remaining for the value-limited value. If the result is "FALSE", processing continues at step S809 to remove the value that corresponds to the BV retrieved in step S806 from the value list, and processing

25   continues at step S804.

Figs. 9A and 9B provide an example of value-limiting processing performed according to the present invention. Fig. 9A provides an example of value-limiting manufacturers to those that provide

30   computer products. Initially, a value list 900 is

generated that ignores any constraints on
manufacturers. That is, value list 900 includes
"ACME", "Apex" and "Best".

5   A result BV is generated which, in the
case of the example, is simply the BV for
"Computers", or BV 941 (i.e., "01100"). BV 941 is
logical "ANDed" with each of BVs 930, 931 and 932
from the manufacturer BVI table 303. BV 930 (for
manufacturer ID="1" or "ACME") is logical "ANDed"
10   with BV 941 to yield BV 951. As illustrated by BV
951, the result of a logical "AND" is "TRUE", since
both BVs 930 and 941 have the second bit set.
Similarly, a logical "AND" between BV 931 (for
manufacturer ID="2" or "Apex") and BV 941 results in
15   "TRUE". However, BV 932 (i.e., for manufacturer ID=
"3" or "Best") logically "ANDed" with BV 941 yields
no set bits (as illustrated by BV 953). Therefore,
the result of the logical "AND" is "FALSE". As
indicated in value list 901, the value limited set
20   of manufacturers is "ACME" (ID="1") and "Apex"
(ID="2"). A validation check of the data will
reveal that the only manufacturers with computer
products are "ACME" and "Apex".

       Similarly, Fig. 9B illustrates
25   value-limiting performed on the category lookup
field. To find the valid categories for the "ACME"
manufacturer, for example, a result BV is generated
while ignoring the Category constraints. In this
case, this is simply BV 930 (i.e., "11000") from the
30   manufacturers BVI. BV 930 is logical "ANDed" with

each of the category BVs 940, 941 and 942. As
illustrated by BVs 954, 955 and 956, logical "AND"
operations yield a "TRUE" for ID="1" and ID="2", but
a "FALSE" for ID="3". Thus, as indicated in value
5   list 901, the valid categories are "Printers" and
"Computers".

        Like BVIs 303 and 304 that store BVs for
an indexed value relative to data records, it is
also possible to create value-limiting BVIs that
10   store BVs to identify a correlation between BVIs.
Thus, for example, it is possible to create a
Category-Manufacturer BVI that includes BVs that
correlate each of the categories with each of the
manufacturers. Thus, examination of one of the BVs
15   in the Category-Manufacturer BVI identifies which
manufacturers sell what product category (or
categories). Similarly, it is possible to create a
Manufacturer-to-Category BVI to identify which
product category (or categories) are offered by a
20   particular manufacturer.

        Fig. 10 provides an example of
Category-Manufacturer and Manufacturer-Category BVIs
according to the present invention. Each BV in BVI
1000 identifies the manufacturers that offer a given
25   product category. A bit in a BV of BVI 1000
correlates a category with a manufacturer. For
example, bit 1012 correlates the "Apex" manufacturer
with the "Computer" category. Similarly, each BV in
BVI 1001 identifies the product categories offered
30   by a given manufacturer. Each bit (e.g., bit 1013)

correlates a manufacturer (e.g., "Apex") and a
category (e.g., "Computer").

As discussed above, a BV that reflects
occurrences of values in an indexed table such as
5   products table 300 is updated to reflect a change
made to a record in the indexed table (e.g.,
products table 300). A change in a BV of a retained
value-limiting BVI may also be necessary.

For example, assume that the Category ID
10   in record 312 is changed from "2" to "1". BVs 340
and 341 are updated as well to reflect the change as
is illustrated in BVs 1340 and 1341, respectively.
The inquiry then becomes whether or not BVIs 1000
and 1001 should also be updated. The third bit of
15   BV 1340 is updated to indicate that an additional
record of products table 300 refers to Category
ID="1". Similarly, the third bit of BV 1341 is
updated to reflect that record 312 in products table
300 refers has been changed to Category ID="1".

20   The focus of inquiry with respect to
value-limiting BVIs 1000 and 1001 is whether or not
the intersections of Category ID="2" and
Manufacturer ID="2" need to be updated. Bit 1014 of
BVI 1000 and bit 1015 of BVI 1001 should reflect the
25   newly-created relationship while bit 1012 of BVI
1000 and 1013 of BVI 1001 of BVI 1001 reflect the
relationship severed by the update. Updating these
bits is based on whether there are other records in
products table 300 that include both a Category ID
30   and a Manufacturer ID equal to "2". Instead of

recreating BVIs 1000 and 1001, it is possible to
update only those BVs that are effected by the
update (i.e., those BVs involving Categories "1" and
"2" and Manufacturer "2").

5   Bits 1014 and 1015 correspond to a
combination of Category "1" and Manufacturer "2",
and the change to products table 300 created a
relationship between these values. If another
record contains this relationship, bits 1014 and
10   1015 are already set. However, bits 1014 and 1015
are unset indicating that there is no prior
relationship between these values of Category and
Manufacturer. Bits 1014 and 1015 are therefore set
to reflect the newly-created relationship.

15   Bits 1014 and 1015 may simply be set.
Alternatively, a logical "AND" may be performed
between the updated BV 340 (i.e., BV 1340, "10110")
and BV 331 (i.e., "00101"). The result of the
logical "AND" reflects the fact that the update
20   created a combination of Manufacturer and Category
that did not previously exist. Bits 1014 and 1015
are updated to reflect the new combination.

          In contrast to bits 1014 and 1015 that
involve the relationship created by the update, bits
25   1012 and 1013 involve the relationship severed by
the update. What is not immediately apparent is
whether there is another record in products table
300 that contains the same relationship (i.e.,
Category "2" and Manufacturer "2"). If not, bits
30   1012 and 1013 need to be reset. If there is another

relationship, however, there is no need to update
bits 1012 and 1013.

The simple (but inefficient) approach is
to simply run through the records to see if any
records match. An alternative approach is to first
update BVI 304 (i.e., BVs 340 and 341 are updated as
BVs 1340 and 1341) to reflect the update to products
table 300. Once BVI 304 is updated, BV 1341 (i.e.,
"01000") from BVI 304 is logical "ANDed" with BV 331
("00101") of BVI 303 to determine whether any
records meet both the Category "2" and Manufacturer
"2" criteria after the update. If the result is
"TRUE", there is no need to update bits 1012 and
1013. If the result is "FALSE", bits 1012 and 1013
are unset.

In the value-limiting examples discussed
above, an "AND" operation is performed to limit one
value set by another value set. Value-limiting may
be used to limit the value selections made available
to a user. Thus, given a particular value for
Manufacturer (e.g., "ACME"), value-limiting may be
used to identify those Category values that are
associated in one or more records of the products
table 300. In addition to "AND", it is possible to
"OR" BVs to identify existing value combinations or
lack thereof.

The present invention performs bit-level
operations such as the bit-wise and logical
operations described above. It is possible to
further optimize these operations by storing the

number of bits set in a BV and the position of the
first set bit. This provides the ability to start
an operation at the first set bit rather than the
beginning of a BV. It is also possible to stop

5   after all the set bits have been encountered rather
than traversing to the end of a BV. Where such
information is known for both BV operands used in an
operation, the operation between the BVs may start
at the earliest set bit and stop at the last set bit

10   known for the BVs.

        In this regard, the invention has been
described with respect to particular illustrative
embodiments. However, it is to be understood that
the invention is not limited to the above-described

15   embodiments and that various changes and
modifications may be made by those of ordinary skill
in the art without departing from the spirit and the
scope of the invention.

WHAT IS CLAIMED IS:

1. A method of indexing occurrences of a value in at least one data record using a bit vector representation, the method comprising:

associating a bit vector representation with a value;

associating a bit position of the bit vector representation to the at least one record;

determining whether the value exists in the at least one data record; and

assigning a value to the bit position in the bit vector representation based on the outcome of the determining step;

synchronizing the bit position with the value to reflect any updates to the value.

2. A method according to Claim 1, further comprising:

encoding the bit vector representation.

3. A method according to Claim 2, wherein the bit vector representation comprises a sequence of bits, encoding the bit vector representation further comprising:

determining whether a frequency of a binary digit is less than a threshold value; and

storing as the encoded bit vector representation at least one position of the binary digit in the bit vector representation.

5   4. A method according to Claim 3, wherein the threshold is the number of bits used to store a number.

          5. A method according to Claim 2, wherein
10   the bit vector representation comprises a sequence of binary digits, encoding the bit vector representation further comprising:

determining whether a size of a region of like binary digits is greater than a threshold
15   value; and

storing as the encoded bit vector representation a representation of the region.

          6. A method according to claim 5, wherein
20   the representation comprises a start and end designation pair that represents the start and ending bits of the region.

          7. A method according to Claim 5, wherein
25   the threshold is twice the number of bits used to store a number.

8. A method according to Claim 1, further comprising:

compressing the encoded bit vector representation.

9. A method according to Claim 1, wherein the bit vector representation is compressed using a compression technique.

10. A method according to Claim 1, wherein the bit vector representation is encoded and compressed.

11. A method according to Claim 1, wherein the data structure is a record in a database.

12. A method according to Claim 1, further comprising:

examining the bit vector representation to determine whether the data record contains the value.

13. A method according to Claim 1, wherein plural bit vector representations exist each representing a discrete value, the method further comprising:

determining whether the data record contains more than one of the values by performing a bit-level operation on the corresponding bit vector representations.

14. A method according to Claim 9, wherein the bit-level operation is an "OR" operation.

15. A method according to Claim 13, wherein the operation is an "AND" operation.

16. A method of identifying combinations of values used in at least one data record comprising fields for storing the values, the method comprising:

creating a first bit vector representation for a first value, the first bit vector representation identifying use of the first value in the at least one data record;

creating a second bit vector representation for a second value, the second bit vector representation identifying use of the second value in the at least one data record; and

performing a bit-level operation on the first and second bit vector representations.

17. A method according to Claim 16, wherein the bit-level operation is an "AND" operation.

18. A method according to Claim 17, wherein the "AND" operation is a bit-wise "AND" returning a bit corresponding to each of the at least one data record identifying whether a combination of the first and second values exist in the at least one data record.

19. A method according to Claim 17, wherein the "AND" operation is a logical "AND" returning a single result representing whether any of the at least one data record contains a combination of the first and second values.

20. A method according to Claim 16, further comprising:

updating the at least one data record.

21. A method according to Claim 20, wherein the update to the at least one data record changes the first value, the method further comprising:

updating the first bit vector representation to reflect the update to the at least one data record.

22. A computer-readable memory medium in which computer-executable process steps are stored, the process steps for indexing occurrences of a value in at least one data record using a bit vector representation, wherein the process steps comprise:

an associating step to associate a bit vector representation with a value;

an associating step to associate a bit position of the bit vector representation to the at least one record;

a determining step to determine whether the value exists in the at least one data record; and

an assigning step to assign a value to the bit position in the bit vector representation based on the outcome of the determining step;

a synchronizing step to synchronize the bit position with the value to reflect any updates to the value.

23. A computer-readable memory medium according to Claim 22, further comprising:

an encoding step to encode the bit vector representation.

24. A computer-readable memory medium according to Claim 23, wherein the bit vector representation comprises a sequence of bits,

encoding the bit vector representation further
comprising:

a determining step to determine whether a
frequency of a binary digit is less than a threshold
value; and

a storing step to store as the encoded bit
vector representation at least one position of the
binary digit in the bit vector representation.

25. A computer-readable memory medium
according to Claim 24, wherein the threshold is the
number of bits used to store a number.

26. A computer-readable memory medium
according to Claim 23, wherein the bit vector
representation comprises a sequence of binary
digits, encoding the bit vector representation
further comprising:

a determining step to determine whether a
size of a region of like binary digits is greater
than a threshold value; and

a storing step to store as the encoded bit
vector representation a representation of the
region.

27. A computer-readable memory medium
according to claim 26, wherein the representation

comprises a start and end designation pair that
represents the start and ending bits of the region.

28. A computer-readable memory medium
5   according to Claim 26, wherein the threshold is
twice the number of bits used to store a number.

29. A computer-readable memory medium
according to Claim 22, further comprising:
10   a compressing step to compress the encoded
bit vector representation.

30. A computer-readable memory medium
according to Claim 22, wherein the bit vector
15   representation is compressed using a compression
technique.

31. A computer-readable memory medium
according to Claim 22, wherein the bit vector
20   representation is encoded and compressed.

32. A computer-readable memory medium
according to Claim 22, wherein the data structure is
a record in a database.

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33. A computer-readable memory medium
according to Claim 22, further comprising:

an examining step to examine the bit
vector representation to determine whether the data
record contains the value.

5   34. A computer-readable memory medium
according to Claim 22, wherein plural bit vector
representations exist each representing a discrete
value, the method further comprising:

a determining step to determine whether
10   the data record contains more than one of the values
by performing a bit-level operation on the
corresponding bit vector representations.

35. A computer-readable memory medium
15   according to Claim 30, wherein the bit-level
operation is an "OR" operation.

36. A computer-readable memory medium
according to Claim 34, wherein the operation is an
20   "AND" operation.

37. A computer-readable memory medium in
which computer-executable process steps are stored,
the process steps for identifying combinations of
25   values used in at least one data record comprising
fields for storing the values, wherein the process
steps comprise:

a creating step to create a first bit vector representation for a first value, the first bit vector representation identifying use of the first value in the at least one data record;

5   a creating step to create a second bit vector representation for a second value, the second bit vector representation identifying use of the second value in the at least one data record; and

a performing step to perform a bit-level
10   operation on the first and second bit vector representations.

38. A computer-readable memory medium according to Claim 37, wherein the bit-level
15   operation is an "AND" operation.

39. A computer-readable memory medium according to Claim 38, wherein the "AND" operation is a logical "AND" returning a bit corresponding to
20   each of the at least one data record identifying whether a combination of the first and second values exist in the at least one data record.

40. A computer-readable memory medium
25   according to Claim 38, wherein the "AND" operation is a bit-wise "AND" returning a single result representing whether any of the at least one data record contains a combination of the first and second values.

41. A computer-readable memory medium according to Claim 37, further comprising:

an updating step to update the at least one data record.

42. A computer-readable memory medium according to Claim 41, further comprising:

a determining step to determine whether the update to the at least one data record effects the first bit vector representation;

an updating step to update the first bit vector representation, if it is determined that the update to the at least one data record effects the first bit vector representation;

a determining step to determine whether the update to the at least one data record effects the second bit vector representation; and

an updating step to update the second bit vector representation, if it is determined that the update to the at least one data record effects the second bit vector representation.

43. Computer-executable process steps stored on a computer readable medium, said computer-executable process steps for indexing occurrences of a value in at least one data record using a bit vector representation, said computer-executable process steps comprising:

code to associate a bit vector
representation with a value;

code to associate a bit position of the
bit vector representation to the at least one
record;

code to determine whether the value exists
in the at least one data record; and

code to assign a value to the bit position
in the bit vector representation based on the
outcome of the determining step;

code to synchronize the bit position with
the value to reflect any updates to the value.

44. A computer-executable process steps
according to Claim 43, further comprising:

code to encode the bit vector
representation.

45. A computer-executable process steps
according to Claim 44, wherein the bit vector
representation comprises a sequence of bits,
encoding the bit vector representation further
comprising:

code to determine whether a frequency of a
binary digit is less than a threshold value; and

code to store as the encoded bit vector
representation at least one position of the binary
digit in the bit vector representation.

46. A computer-executable process steps according to Claim 45, wherein the threshold is the number of bits used to store a number.

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47. A computer-executable process steps according to Claim 44, wherein the bit vector representation comprises a sequence of binary digits, encoding the bit vector representation further comprising:

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code to determine whether a size of a region of like binary digits is greater than a threshold value; and

code to store as the encoded bit vector representation a representation of the region.

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48. A computer-executable process steps according to claim 50, wherein the representation comprises a start and end designation pair that represents the start and ending bits of the region.

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49. A computer-executable process steps according to Claim 47, wherein the threshold is twice the number of bits used to store a number.

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50. A computer-executable process steps according to Claim 43, further comprising:

code to compress the encoded bit vector representation.

51. A computer-executable process steps according to Claim 43, wherein the bit vector representation is compressed using a compression technique.

52. A computer-executable process steps according to Claim 43, wherein the bit vector representation is encoded and compressed.

53. A computer-executable process steps according to Claim 43, wherein the data structure is a record in a database.

54. A computer-executable process steps according to Claim 43, further comprising:

code to examine the bit vector representation to determine whether the data record contains the value.

55. A computer-executable process steps according to Claim 43, wherein plural bit vector representations exist each representing a discrete value, the method further comprising:

code to determine whether the data record contains more than one of the values by performing a bit-level operation on the corresponding bit vector representations.

56. A computer-executable process steps according to Claim 51, wherein the bit-level operation is an "OR" operation.

5   57. A computer-executable process steps according to Claim 55, wherein the operation is an "AND" operation.

58. Computer-executable process steps

10   stored on a computer readable medium, said computer-executable process steps for identifying combinations of values used in at least one data record comprising fields for storing the values, said computer-executable process steps comprising:

15   code to create a first bit vector representation for a first value, the first bit vector representation identifying use of the first value in the at least one data record;

code to create a second bit vector representation for a second value, the second bit

20   vector representation identifying use of the second value in the at least one data record; and

code to perform a bit-level operation on the first and second bit vector representations.

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59. A computer-executable process steps according to Claim 58, wherein the bit-level operation is an "AND" operation.

60. A computer-executable process steps according to Claim 59, wherein the "AND" operation is a logical "AND" returning a bit corresponding to each of the at least one data record identifying whether a combination of the first and second values exist in the at least one data record.

61. A computer-executable process steps according to Claim 59, wherein the "AND" operation is a bit-wise "AND" returning a single result representing whether any of the at least one data record contains a combination of the first and second values.

62. A computer-executable process steps according to Claim 58, further comprising:

code to update the at least one data record.

63. A computer-executable process steps according to Claim 62, further comprising:

code to determine whether the update to the at least one data record effects the first bit vector representation;

code to update the first bit vector representation, if it is determined that the update to the at least one data record effects the first bit vector representation;

code to determine whether the update to the at least one data record effects the second bit vector representation; and

5   code to update the second bit vector representation, if it is determined that the update to the at least one data record effects the second bit vector representation.

ABSTRACT

The present invention provides for indexing of occurrences of a value in at least one data record using a bit vector wherein a bit vector
5   is associated with the value and a bit of the bit vector representation is associated with the at least one data record, a determination is made whether the value exists in the at least one data record, a bit value is assigned to the bit in the
10   bit vector representation based on the outcome of the determination. Further, operations may be performed on multiple bit vectors indexing data records and values used in the data records to determine the existence of combinations and
15   associations between the corresponding values and the indexed data records.

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TO NETWORK

TO FACSIMILE/MODEM

Fig. 1

Fig. 2

The diagram shows the following components connected to a COMPUTER BUS (21):

- CPU (20)
- SCANNER INTERFACE (22)
- DISPLAY INTERFACE (27)
- KEYBOARD INTERFACE (28)
- PRINTER INTERFACE (25)
- POINTING DEVICE (23)
- FAX/MODEM INTERFACE (29)
- NETWORK INTERFACE (26)
- ROM (24)
- MAIN MEMORY (30) / RAM

DISK (6) contains:
- WINDOWING PROGRAM
- APPLICATION PROGRAMS
- DATABASE MANAGEMENT SYSTEM
- DATABASE FILES + SCHEMA + DATA
- STORED PROCEDURES
- DATA FILES AND DEVICE DRIVERS

Products Table 300

Product ID	Description	Manufacturer	Category	
1	ACME Printer	1	1	310
2	ACME Computer	1	2	311
3	Apex Computer	2	2	312
4	Best Printer	3	1	313
5	Apex Monitor	2	3	314

Manufacturers Table 301

Manufacturer ID	Manufacturer	
1	ACME	320
2	Apex	321
3	Best	322

Categories Table 302

Cateogory ID	Category	
1	Printer	320
2	Computer	321
3	Monitor	322

Manufacturers Bit Vector Index 303

Manufacturer ID	Bit Vector	
1	1 1 0 0 0	330
2	0 0 1 0 1	331
3	0 0 0 1 0	332

Categories Bit Vector Index 304

Category ID	Bit Vector	
1	1 0 0 1 0	340
2	0 1 1 0 0	341
3	0 0 0 0 1	342

Fig. 3

Products Table (300)

Product ID	Description	Manufacturer	Category	
1	ACME Printer	1	1	310
2	ACME Computer	1	2	311
3	Apex Computer	2	2	312
4	Best Printer	3	1	313
5	Apex Monitor	2	3	314

Product ID: 1 2 3 4 5
Indexed Value

Manufacturer
1: 1 1 0 0 0 — 430
2: 0 0 1 0 1 — 431
3: 0 0 0 1 0 — 432

Category
1: 1 0 0 1 0 — 440
2: 0 1 1 0 0 — 441
3: 0 0 0 0 1 — 442

Fig. 4

Fig. 5

Fig. 6

Products Table 300

Product ID	Description	Manufacturer	Category	
1	ACME Printer	1	1	310
2	ACME Computer	1	2	311
3	Apex Computer	2	2	312
4	Best Printer	3	1	313
5	Apex Monitor	2	3	314

Product ID	1	2	3	4	5	
ACME	1	1	0	0	0	700
OR						701
Apex	0	0	1	0	1	
	1	1	1	0	1	702

Fig. 7A

Products Table — 300

Product ID	Description	Manufacturer	Category	
1	ACME Printer	1	1	310
2	ACME Computer	1	2	311
3	Apex Computer	2	2	312
4	Best Printer	3	1	313
5	Apex Monitor	2	3	314

Product ID	1	2	3	4	5	
ACME "OR" Apex	1	1	1	0	1	702
					AND	711
Computer	0	1	1	0	0	
	0	1	1	0	0	712

Fig. 7B

Fig. 8

S801 — Ignore constraints on value-limited field and set value list to all values

S802 — All other constraints processed?

S803 — Generate/Update limiting BV based on next constraint

S804 — All values in value list been processed?

S805 — Stop

S806 — Get BV corresponding to next value in value list

S807 — Perform a logical AND operation on the value's BV and the limiting BV

S808 — Bit set in result of logical "AND" operation?

S809 — Remove value from value list

Fig. 9A

900 — Initial Value List: ACME, Apex, Best

901 — Resulting Value List: ACME, Apex

	ACME	Apex	Best	
	930	931	932	
	1 1 0 0 0	0 0 1 0 1	0 0 0 1 0	
Computer BV (AND) 941	0 1 1 0 0	0 1 1 0 0	0 1 1 0 0	
	0 1 0 0 0	0 1 0 0 0	0 0 0 0 0	
	951	952	953	

Fig. 9B

900 — Initial Value List: Printers, Computers, Monitors

901 — Resulting Value List: Printers, Computers

	Printer	Computer	Monitor	
	940	941		
	1 0 0 1 0	0 1 1 0 0	0 0 0 0 1	
ACME BV (AND) 930	1 1 0 0 0	1 1 0 0 0	1 1 0 0 0	
	1 0 0 0 0	0 1 0 0 0	0 0 0 0 0	
	954	955	956	

CategoryID-to-ManufacturerID Bit Vector Index
1000

Manufacturer ID	Bit Vector		
1	1	0 _1014_	1
2	1	1 _1012_	0
3	0	1	0

ManufacturerID-to-CategoryID Bit Vector Index
1001

Category ID	Bit Vector		
1	1	1	0
2	0 _1015_	1 _1013_	1
3	1	0	0

Category ID = 1   1 0 1 1 0   1340

Cagegory ID = 2   0 1 0 0 0   1341

Fig. 10